

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 1786942 |
| Application Number: | 09501154 |
| International Application Number: | |
| Confirmation Number: | 3469 |
| Title of Invention: | Method and system for interactive initial offering of multi-class financial instruments |
| First Named Inventor/Applicant Name: | Srikanth Sankaran |
| Correspondence Address: | Thomas J. Donovan - Barnes & Thornburg L.L.P P.O. Box 2786 Chicago IL 60690-2786 US - - |
| Filer: | Richard Bernard Lazarus/Margaret Scott |
| Filer Authorized By: | Richard Bernard Lazarus |
| Attorney Docket Number: | 44321-104898 |
| Receipt Date: | 17-MAY-2007 |
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| Application Type: | Utility |

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| Submitted with Payment | yes |
| Payment was successfully received in RAM | \$905 |
| RAM confirmation Number | 809 |

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| Deposit Account | 100435 |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17 | |

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) | Multi Part /.zip | Pages (if appl.) |
|-------------------------------------|--|------------------------------------|------------------|------------------|------------------|
| 1 | Request for Continued Examination (RCE) | 44321104898RCE.pdf | 681057 | no | 3 |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Amendment Submitted/Entered with Filing of CPA/RCE | 44321104898Amendment.pdf | 687444 | no | 7 |
| Warnings: | | | | | |
| Information: | | | | | |
| 3 | Extension of Time | 44321104898ThreeMonthExtension.pdf | 91221 | no | 1 |
| Warnings: | | | | | |
| Information: | | | | | |
| 4 | Fee Worksheet (PTO-06) | fee-info.pdf | 8366 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | | | 1468088 |

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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